



PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |                      |                           |           |
|---|----------------------|---------------------------|-----------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number   | 10/537,446                |           |
|   | Filing Date          | November 9, 2005          |           |
|   | First Named Inventor | WORMUTH, Reinhard, et al. |           |
|   | Group Art Unit       |                           |           |
|   | Examiner Name        |                           |           |
| Total Number of Pages in This Submission  |                      | Attorney Docket Number    | 20496-481 |

**ENCLOSURES (check all that apply)**

|   |   |  |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) ( <i>please identify below</i> ):<br>1-Request for Corrected Filing Receipt<br>2-Copy of Filing Receipt<br>3-Application Data Sheet<br>4-Return postcard |
| <input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Documents<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | Remarks   |  |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                         |                                   |
|-------------------------|-----------------------------------|
| Firm or Individual Name | Charles Guttman, Reg. No. 29, 161 |
| Signature               | <i>Charles Guttman</i>            |
| Date                    | June 8, 2006                      |

**CERTIFICATE OF TRANSMISSION/MAILING**

|   |                    |      |              |
|---|--------------------|------|--------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |                    |      |              |
| Typed or printed name   | Menaz Ahmed        |      |              |
| Signature   | <i>Menaz Ahmed</i> | Date | June 8, 2006 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of : Reinhard WORMUTH et al.  
Entitled : LUBRICANT-COATED METAL SHEET  
HAVING IMPROVED FORMING  
PROPERTIES  
U.S. Serial No. : 10/537,446  
Filing Date : November 9, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

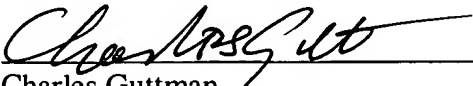
Enclosed please find a copy of an Application Data Sheet and a copy of the Filing Receipt in connection with the above-referenced application. Please note that the correct **address** of Dirk Liese is "Dirk Liese, **Recklinghausen**, Germany" **not** "Dirk Liese, **Geitling**, Germany" as indicated on the filing receipt. Kindly correct the filing receipt and forward the corrected receipt to us.

Thank you for your cooperation.

Date: June 8, 2006  
Reg. No. 29,161

Tel. No.: (212) 969-3000  
Fax No.: (212) 969-2900

Respectfully submitted,  
PROSKAUER ROSE LLP  
Attorneys for Applicant(s)

  
Charles Guttman  
Attorney for the Applicant  
Proskauer Rose LLP  
1585 Broadway  
New York, N.Y. 10036-8299

Enclosures



## APPLICATION DATA SHEET

Attorney Docket No.: 20496-481

Application No.: 10/537,446

Filing Date: November 9, 2005

Title: LUBRICANT COATED SHEET METAL WITH IMPROVED  
DEFORMATION PROPERTIES

### Inventors Information:

WORMUTH, Reinhard  
Varusstraße 19  
44149 Dortmund  
Germany

Citizen of: Germany

LIESE, Dirk  
Geitling 14  
45663 Recklinghausen  
Germany

Citizen of: Germany

### Correspondence and Representative Information:

Customer No.: 21890  
Proskauer Rose LLP  
1585 Broadway  
New York, NY 10036-8299  
Tel. (212) 969-3000  
Fax (212) 969-2900

Attn: Charles Guttman, Esq.  
Registration No.: 29,161

### Foreign Priority Information:

This application claims priority to German application No. 102 56 639.9-45,  
filed December 3, 2002



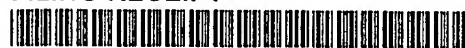
## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

| APPL NO.   | FILING OR 371<br>(c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/537,446 | 11/09/2005                | 1764     | 2140          | 20496-481       | 1        | 34       | 1        |

CONFIRMATION NO. 1877

## FILING RECEIPT



\*OC000000017851076\*

21890  
 PROSKAUER ROSE LLP  
 PATENT DEPARTMENT  
 1585 BROADWAY  
 NEW YORK, NY 10036-8299



Date Mailed: 01/17/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Reinhard Wormuth, Dortmund, GERMANY;  
 Dirk Liese, Geitling, GERMANY;

Power of Attorney: The patent practitioners associated with Customer Number 21890.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/13557 12/02/2003

## Foreign Applications

GERMANY 10256639.9 12/03/2002

Projected Publication Date: 04/27/2006

Non-Publication Request: No

Early Publication Request: No

## Title

Lubricant coated sheet metal with improved deformation properties

## Preliminary Class

508

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject

matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).